



Form PTO-1449 (modified)

Atty. Docket No.  
EMCR:039Serial No.  
09/261,621

List of Patents and Publications for Applicant's

## INFORMATION DISCLOSURE STATEMENT

(Use several sheets if necessary)

Applicants  
Uresh K. Vahalia & Percy TzelnicFiling Date:  
March 3, 1999Group:  
2154

## Other Art (Including Author, Title, Date Pertinent Pages, Etc.)

| Exam. Init. | Ref. Des. | Citation   |
|-------------|-----------|--|
| DA          | C1        | Erik Riedel & Garth Gibson, "Understanding Customer Dissatisfaction With Underutilized Distributed File Servers," Proceedings of the Fifth NASA Goddard Space Flight Center Conference on Mass Storage Systems and Technologies," Sept. 17-19, 1996, College Park, MD, 18 pages. |
| DA          | C2        | Garth A. Gibson, et al., "A Case for Network-Attached Secure Disks," CMU-CS-96-142, 26 Sept. 1996, School of Computer Science, Carnegie Mellon University, Pittsburg, Pennsylvania, 19 pages.  |
| DA          | C3        | Garth A. Gibson, et al., "File Server Scaling with Network-Attached Secure Disks," Proceedings of the ACM International Conference on Measurement and Modeling of Computer Systems (Sigmetrics '97), Seattle, Washington, June 15-18, 1997, 13 pages.                            |
| DA          | C4        | Garth A. Gibson, et al., "Filesystems for Network-Attached Secure Disks," CMU-CS-97-118, July 1997, School of Computer Science, Carnegie Mellon University, Pittsburg, Pennsylvania, 18 pages.   |
| DA          | C5        | Howard Gobioff, et al., "Security for Network Attached Storage Devices," CMU-CS-97-185, 23 Oct. 1997, School of Computer Science, Carnegie Mellon University, Pittsburg, Pennsylvania, 18 pages.   |
|             | C6        | Garth A. Gibson, et al., "A Cost-Effective, High-Bandwidth Storage Architecture," Proceedings of the 8 <sup>th</sup> Conference on Architectural Support for Programming Languages and Operating Systems," 1998, Association for Computing Machinery, 12 pages. ←                |
| DA          | C7        | Howard Gobioff, et al., "Integrity and Performance in Network Attached Storage," CMU-CS-98-182, December 1998, School of Computer Science, Carnegie Mellon University, Pittsburg, Pennsylvania, 22 pages.  |
| DA          | C8        | Khalil Amiri, et al., "Scalable Concurrency Control and Recovery for Shared Storage Arrays," CMU-CS-99-111, February 1999, School of Computer Science, Carnegie Mellon University, Pittsburg, Pennsylvania, 26 pages.  |

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DATE CONSIDERED: 02/14/05

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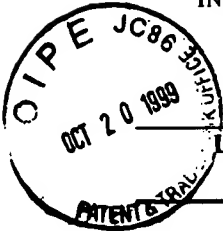
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March 3, 1999Group:  
2755**RECEIVED****OCT 22 1999****Group 2700**U.S. Patent Documents  
See Page 1Foreign Patent Documents  
See Page 2Other Art  
See Page 2**Other Art (Including Author, Title, Date Pertinent Pages, Etc.)**

| Exam.<br>Init. | Ref.<br>Des. | Citation   |
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| DW             | C24          | Lev Vaitzblitr; "The Design and Implementation of a High-Bandwidth File Server for Continuous Media" Thesis, Master of Science, Massachusetts Institute of Technology, Cambridge, Mass., September 1991, pp. 1-95. |
| DW             | C25          | J. Case, M. Fedor, M. Schoffstall, J. Davin, "A Simple Network Management Protocol (SNMP)," May 1990, MIT Laboratory for Computer Science, Cambridge, Mass., pp. 1-35.   |
| DA             | C26          | Ulyless Black, "TCP/IP and Related Protocols", McGraw-Hill, Inc., New York, N.Y., pp. 304-130.<br>Jan. 1998,   |

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*Dushin Hengy*

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*09/06/02*

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March 3, 1999Group: **Group 2700**  
2755U.S. Patent Documents  
See Page 1Foreign Patent Documents  
See Page 2Other Art  
See Page 2**Foreign Patent Documents**

| Exam. Init. | Ref. Des. | Document Number | Date    | Country | Class/ Sub Class | Translation Yes/No |
|-------------|-----------|-----------------|---------|---------|------------------|--------------------|
| DN          |           | WO 97/16023     | 05/1997 | PCT     | H04N 7/173       |                    |

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| Exam. Init. | Ref. Des. | Citation   |
|-------------|-----------|--|
| DN          | C1        | Fibre Channel--FAQ's Answers, Technology, March 18, 1998, pp. 1-15;<br><a href="http://www.fibrechannel.com/technology/answer.htm">http://www.fibrechannel.com/technology/answer.htm</a>   |
| DN          | C2        | Fiber Channel Tutorial, Technology, pp 1-8, March 7, 1998, pp. 1-8;<br><a href="http://www.fibrechannel.com/technology/tutorial.htm">http://www.fibrechannel.com/technology/tutorial.htm</a>   |
| DN          | C3        | Networking Group, Internet-Draft, Paul J. Leach, Dilip C. Naik, "A Common Internet File System (CIFS/1.0) Protocol", December 19, 1997, pp. 1-121  |
| DN          | C4        | Fibre Channel Overview, Zoltán Meggyesi, KFKI - RMKI, Research Institute for Particle and Nuclear Physics, December 9, 1997; pp 1-10,<br><a href="http://www1.cern.ch/HSI/fcs/spec/overview.htm">http://www1.cern.ch/HSI/fcs/spec/overview.htm</a>                                 |
| DN          | C5        | Fibre Channel, TR-20-199x, "Fabric Loop Attachment" (FC-FLA) Rev. 2.7, NCITS Working Draft Proposed Technical Report, August 12, 1997, pp. i-xiv, 1-122.   |
| DN          | C6        | TINA, "A Common Software Architecture for Multimedia and Information Services" Emmanuel Darmois, Motoo Hoshi, August 9, 1997, pp. 1-6.<br><a href="http://www.tinac.com/about/nutshell.htm">http://www.tinac.com/about/nutshell.htm</a>  |
| DN          | C7        | IEEE/IEEE Electronic Library, "Performance Model of the Argonne Voyager" Dixz, T.; Olson, R.; Stevens, R.; Div. of Math & Comput.Sci., Argonne Nat. Lab, IL, USA; Application-Specific Systems, Architectures and Processors 1997, Conference held: July 14-16, 1997, pp. 316-327. |

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